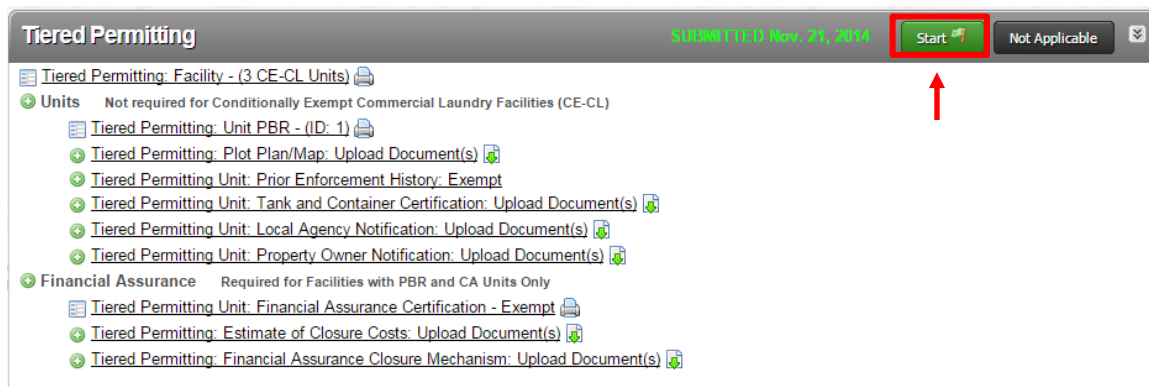


Resubmitting Tiered Permitting

If you are permitted under Permit By Rule (PBR) or have units that are Conditionally Authorized (CA) you are required to update/complete the Tiered Permitting section annually and within 30 days if there are any changes. If all of your treatment units are Conditionally Exempt (CE), the Tiered Permitting submittal element is only required when there are changes.

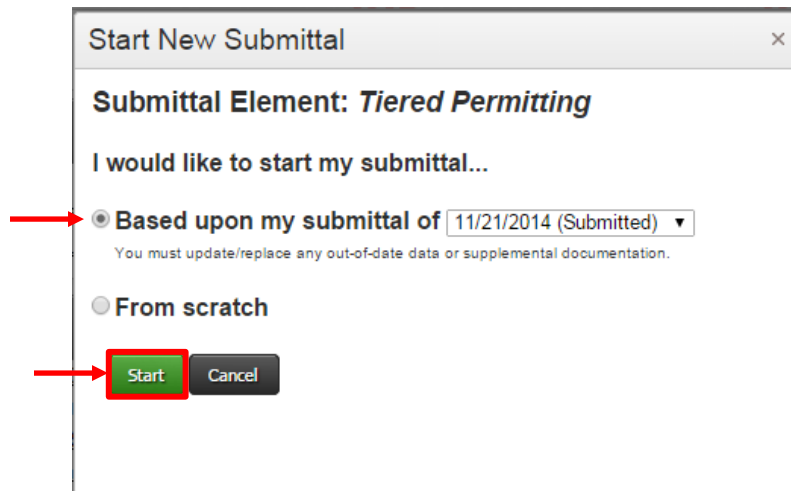
For returning facilities resubmitting the Tiered Permitting element, please be sure that you have completed the [Facility Information Element](#).

1. Click “Start”



The screenshot shows the 'Tiered Permitting' section of a software interface. At the top right, there is a green 'Start' button with a small icon, which is highlighted with a red rectangular box. A red arrow points upwards towards this button. To the left of the button, the status 'SUBMITTED Nov. 21, 2014' is displayed. Below the button is a 'Not Applicable' button. The main area of the interface lists various units and documents, including 'Tiered Permitting: Facility - (3 CE-CL Units)', 'Tiered Permitting: Unit PBR - (ID: 1)', and several 'Tiered Permitting Unit' entries with 'Upload Document(s)' links.

2. Select the “Based upon my last submittal of...” option, and click “Start”



The screenshot shows a 'Start New Submittal' dialog box. The title bar says 'Start New Submittal'. The main text reads 'Submittal Element: Tiered Permitting' and 'I would like to start my submittal...'. There are two radio button options: 'Based upon my submittal of' (selected) and 'From scratch'. The 'Based upon my submittal of' option has a dropdown menu showing '11/21/2014 (Submitted)'. Below the options, there is a note: 'You must update/replace any out-of-date data or supplemental documentation.' At the bottom, there are 'Start' and 'Cancel' buttons. The 'Start' button is highlighted with a red rectangular box, and a red arrow points to it from the left.

3. Click “Edit” for Tiered Permitting: Facility

4. Review the information, make changes as appropriate and click Save. Note: You may notice that with exception of the CE-CL (Conditionally Exempt - Commercial Laundry), you cannot change the number of Units in each Unit Type/Tier. This is done on a later page.

5. Click **Edit** for Tiered Permitting: Unit

Page 2 of 10

6. Review the information, make changes as appropriate and click “**Save**”

Discard **Save** Cancel

Treatment Unit Identification and Details

Unit Type/Tier ☐ CESQT ☐ CESW ☐ CA ☒ PBR ☐ CEL

Unit ID# 1 [Edit](#)

Unit Name

Number of Tanks 1

Number Of Containers/Treatment Areas 0

Monthly Treatment Volume 5

Unit Of Measure ☐ Pounds ☒ Gallons

Specific Waste Type Treated (Narrative)

Treatment Process Description (Narrative)
This is how to treat the waste.

Basis for Not Needing Federal Permit (Check all that apply)

☐ a. Treated Waste is not a Hazardous Waste under Federal Law (California-only waste)

☐ b. Treated in Waste Water Treatment Units (Tanks) and Discharged to a Publicly Owned Treatment Works (POTW)/Sewering Agency or under an NPDES Permit

☐ c. Treatment in Elementary Neutralization Units

☐ d. Treatment in a Totally Enclosed Treatment Facility

☐ e. Federal Conditionally Exempt Small Quantity Generator (generated 100kg, approximately 27 gallons, or less of hazardous waste in a calendar month)

☐ f. Treatment in an accumulation tank or container within 90 days for over 1000 kg/month generators and 180 or 270 days for generators of 100 to 1000 kg/month.

☐ g. Recyclable Materials are Reclaimed to Recover Silver or other Precious Metals

☐ h. Empty Container Rinsing and/or Treatment

☐ i. Other Basis (specify below)

Residuals Management Description (Check all that apply)

☐ a. Discharge non-hazardous aqueous waste to POTW or sewer

☐ b. Discharge non-hazardous aqueous waste under a NPDES permit

☐ c. Dispose of Non-hazardous Solid Waste Residues at an Offsite Location

☐ d. Offsite Recycling

☐ e. Thermal Treatment

☐ f. Disposal to Land

☐ g. Further Treatment

☐ h. Other Method of Disposal (specify below)

Secondary Containment Installation Date (If Required)

At least one Waste and Treatment Combination must be provided.

Permit by Rule (PBR) - Waste and Treatment Process Combinations

1. Aqueous wastes containing hexavalent chromium may be treated by the following process:

☐ Reduction of hexavalent chromium to trivalent chromium with sodium bisulfite, sodium metabisulfite, sodium thiosulfite, ferrous sulfate, ferrous sulfide, or sulfur dioxide provided both pH & addition of the reducing agent are automatically controlled

If you chose PBR or CA under Unit Type/Tier, clicking save may send you directly to the Financial Assurance Certification, otherwise skip step 7.

7. Complete the Financial Assurance page for the given PBR/CA Unit/s. Financial Assurance must be completed annually and account for inflation. Click Save.

NOTE: Financial assurance is only required when the closure costs add to \$10,000 or more.

The screenshot shows a web form for Financial Assurance. It is divided into several sections:
1. **Type of Operation / Unit Type**: Radio buttons for PBR-FTU (selected) and CA.
2. **Estimated Closure Costs**: A text box containing '5000' and a note: 'Estimate must be accompanied by a written Estimate of Closure Costs download.'
3. **Exemption from Financial Assurance Requirements**: Two numbered options. Option 1a is selected: 'I certify that my closure cost estimate is less than or equal to \$10,000, or'.
4. **Closure Financial Assurance Mechanism**: A section titled 'Based on your Exemption from Financial Assurance Requirements selections, you are exempt from completing this section of the form.' It contains fields for Closure Assurance Mechanism Type (radio buttons), Effective Date, Mechanism ID Number(s), and Financial Institution details (Address, City, State, Zip Code, Country).
5. **Owner or Operator Certification**: A certification statement followed by fields for Owner/Operator Name, Title, Date Certified, and Signer Of Certification (radio buttons for Owner or Operator).
At the top right and bottom right of the form are 'Save' and 'Cancel' buttons. A red arrow points from the top 'Save' button down to the bottom 'Save' button.

(Link to Implicit Price Deflators: Table 1.1.9 at <http://www.bea.gov/http://www.bea.gov/iTable/iTable.cfm?ReqID=13&step=1#reqid=13&step=3&isuri=1&1301=i&1303=13>)

8. Review the information in each existing Unit (see step 5) to ensure the information is correct and update as necessary. If there are any new Units click the “**Add Unit**” button, Enter information requested for the Tiered Permitting Unit and Click “**Save**”.

NOTE: You are NOT required to “Add Unit” for Conditionally Except – Commercial Laundry units.

Tiered Permitting DRAFT Nov. 21, 2014 Submit

- Tiered Permitting: Facility - (3 CE-CL Units) Ready to Submit Edit Discard
- Units Add Unit Not required for Conditionally Exempt Commercial Laundry Facilities (CE-CL)
 - Tiered Permitting: Unit PBR - (ID: 1) Ready to Submit Edit Discard
 - Tiered Permitting: Plot Plan/Map: Upload Document(s) Ready to Submit Edit Discard
 - Tiered Permitting Unit: Prior Enforcement History New
 - Tiered Permitting Unit: Tank and Container Certification New
 - Tiered Permitting Unit: Local Agency Notification New
 - Tiered Permitting Unit: Property Owner Notification New
- Financial Assurance Add Certification Required for Facilities with PBR and CA Units Only
 - Tiered Permitting: Estimate of Closure Costs: Upload Document(s) Ready to Submit Edit Discard
 - Tiered Permitting: Financial Assurance Closure Mechanism: Upload Document(s) Ready to Submit Edit Discard

Discard Draft Submittal Miscellaneous State-Required Documents Add Comment To Regulator

9. Review the Plot Plan/Map on file by clicking on the link. If you are planning on uploading a new Map, choose the **"Discard"** option instead and skip ahead to part b.

Tiered Permitting DRAFT Nov. 21, 2014 Submit

- Tiered Permitting: Facility - (3 CE-CL Units) Ready to Submit Edit Discard
- Units Add Unit Not required for Conditionally Exempt Commercial Laundry Facilities (CE-CL)
 - Tiered Permitting: Unit PBR - (ID: 1) Ready to Submit Edit Discard
 - Tiered Permitting: Unit CA - Treatment unit #2 (ID: 2) Ready to Submit Edit Discard
 - Tiered Permitting: Plot Plan/Map: Upload Document(s) Ready to Submit Edit **Discard**
 - Tiered Permitting Unit: Prior Enforcement History New
 - Tiered Permitting Unit: Tank and Container Certification New
 - Tiered Permitting Unit: Local Agency Notification New
 - Tiered Permitting Unit: Property Owner Notification New
- Financial Assurance Add Certification Required for Facilities with PBR and CA Units Only
 - Tiered Permitting Unit: Financial Assurance Certification - Exempt Ready to Submit Edit Discard
 - Tiered Permitting: Estimate of Closure Costs: Upload Document(s) Ready to Submit Edit Discard
 - Tiered Permitting: Financial Assurance Closure Mechanism: Upload Document(s) Ready to Submit Edit Discard

Discard Draft Submittal Miscellaneous State-Required Documents Add Comment To Regulator

- a) To review the Plot Plan/Map, click on the link. Once you have reviewed the file, choose **"Discard"** if you need to submit a new document and **"Cancel"** if the document was adequate. If the document is adequate proceed to step 10.

Instructions/Help

Tiered Permitting: Plot Plan/Map
Provide a plot plan or map detailing the location or locations of the unit or units at this facility. Clearly indicate the facility boundaries and major features. The extent or detail of the plot plan will vary depending on the size of the facility, the extent of the industrial operations, and the number of treatment units. The Site Map uploaded with your facility's Hazardous Materials Inventory may be used as long as the unit numbers are clearly indicated. Select the **Edit** button to modify/add documentation.

Document Options
Upload Document(s)
Public Internet URL
Provided Elsewhere in CERS
Provided to Regulator
Stored at Facility
Exempt

Document Upload(s) [CERS Document Upload Policy](#)

Document Title	Date Authored
Onsite Hazardous Waste Treatment: Plot Plan/Map (Portable Document Format, 11 KB)	11/21/2014

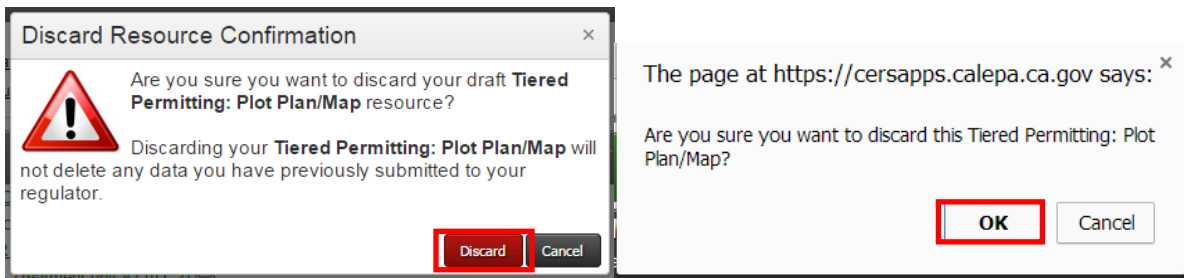
Discard

Created By: Amanda Ackerman on 11/21/2014 2:08 PM
Last Updated By: Amanda Ackerman on 11/21/2014 2:08 PM

Edit Cancel

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- b) Depending on where you chose the Discard option from you may be directed to one of these two confirmation screens to discard the previous document. Choose Discard or OK as appropriate.



10. The following items all must have a submittal. Click the “**New**” button to start for each of these items.

The Default option is the Document Upload option, but you can choose other options as provided below. The following options may be useful:

Upload Document Option:

- Select **“Upload Document”** in the **“Document Options”** box
- Click **“Browse”** (or **“Choose File”**) and select the desired file.
The Document Title is automatically, but can be edited
- If you need to upload multiple files in the same place, choose ‘Save & Upload Again’ and repeat step b as needed.

When finished Click **“Save & Finish”**

Instructions/Help

Tiered Permitting: Tank and Container Certification(s)
Tank and/or containment system certifications are required to be submitted only for PBR units by 22 CCR 67450.2(b)(3)(G), when applicable. Containment system certifications must be a written statement signed by an independent, qualified professional engineer, registered in California, that indicates the containment system is suitably designed (22 CCR 66264.175(c)). Please see 22 CCR 66265.191(a) and 66265.192(a) for tank system certification requirements.
To upload a document, select the **Browse** button and then the file on your computer to upload, provide a document title, and then select **Save & Finish** to complete the upload. Use of other document options shown on the left must be approved by your local regulator.

Document Options

- ☒ Upload Document(s)
- ☐ Public Internet URL
- ☐ Provided Elsewhere in CERS
- ☐ Provided to Regulator
- ☐ Stored at Facility
- ☐ Exempt

Document Upload(s) [CERS Document Upload Policy](#)

Upload Document

Choose File No file chosen

Date Authored (Required) 12/2/2014

Document Title (Required) **Tiered Permitting Unit. Tank and Container Certification**

Description/Comments (Optional)

Save & Upload Again **Save & Finish** Cancel

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Provided Elsewhere in CERS Option: Choose this option if you submitted this information in a prior submittal and there have been no changes, or for example if your plot plan is in your site map for Hazardous Materials Inventory.

- Select **“Provided Elsewhere in CERS”** in the **“Document Options”** box
- Choose a Submittal Element under **“Supplied in Submittal Element...”**
If referring to a prior submittal in CERS, please reference the date of the Submittal in the box labeled: **“Indicate submittal date or other explanation...”**
- Click **“Save”**

Instructions/Help

Tiered Permitting: Prior Enforcement History
 Prior Enforcement History information is required ONLY if this facility was the subject of any convictions, judgments, settlements or final orders resulting from an action by any local, state, or federal environmental, hazardous waste, or public health enforcement agency. If applicable, upload a statement or summary that lists the cases for the last three years and provide a copy of the cover sheet from each document (conviction, settlement, etc.). The summary should include case and docket number, name and address of the agency, date, brief explanation, type of case (criminal, civil, administrative) and final resolution (including fines and penalties).
 To upload a document, select the **Browse** button and then the file on your computer to upload, provide a document title, and then select **Save & Finish** to complete the upload. Use of other document options shown on the left must be approved by your local regulator.

Document Options

- ☐ Upload Document(s)
- ☐ Public Internet URL
- ☒ Provided Elsewhere in CERS
- ☐ Provided to Regulator
- ☐ Stored at Facility
- ☐ Exempt

Provided Elsewhere in CERS
 If requirements for this supplemental documentation can be satisfied by another document you have provided in CERS, please indicate the submittal element where the document can be found and provide the submittal date or other comments to assist your regulator in locating this document in your current/previous CERS facility submittals.

Supplied in Submittal Element...

- ☐ Facility Information
- ☒ Tiered Permitting

Indicate submittal date or other explanation...

11/18/2013, No changes since then.

Save **Cancel**

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Provided to Regulator Option: Choose this option if you previously submitted a document to us outside of CERS (via fax, email or mail), and nothing has changed.

- Select **“Provided to Regulator”** in the **“Document Options”** box
- Enter the date that you sent in the documents under **Date Provided**.
- Click **“Save”**

Instructions/Help

Tiered Permitting: Notification of Local Agency or Agencies
 Submittals for PBR units must include documentation of the other local agencies notified of your operation, i.e. sewer agency per CCR Title 22 §67450.3(c)(5)(A).
 To upload a document, select the **Browse** button and then the file on your computer to upload, provide a document title, and then select **Save & Finish** to complete the upload. Use of other document options shown on the left must be approved by your local regulator.

Document Options

- ☐ Upload Document(s)
- ☐ Public Internet URL
- ☐ Provided Elsewhere in CERS
- ☒ Provided to Regulator
- ☐ Stored at Facility
- ☐ Exempt

Stored at Regulatory Agency
 If previously approved by your local regulator, you can use this document option to indicate the document(s) has been provided to the Regulator. Normally this option should only be used when the document(s) are sensitive and should not be made readily available to the public, such as the Emergency Response Plan for a refinery or large chemical manufacturing plant. Enter the date the document was provided to the regulator.

Date Provided

Save **Cancel**

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Exempt Option: Choose this option if the submittal element does not apply to you, ex: Prior Enforcement History and you have none.

- Select **“Exempt”** in the **“Document Options”** box
- Provide an Explanation under **Explanation of Why your Facility is Exempt**.
- Click **“Save”**

Instructions/Help

Tiered Permitting: Prior Enforcement History
 Prior Enforcement History information is required ONLY if this facility was the subject of any convictions, judgments, settlements or final orders resulting from an action by any local, state, or federal environmental, hazardous waste, or public health enforcement agency. If applicable, upload a statement or summary that lists the cases for the last three years and provide a copy of the cover sheet from each document (conviction, settlement, etc.). The summary should include case and docket number, name and address of the agency, date, brief explanation, type of case (criminal, civil, administrative) and final resolution (including fines and penalties).
 To upload a document, select the **Browse** button and then the file on your computer to upload, provide a document title, and then select **Save & Finish** to complete the upload. Use of other document options shown on the left must be approved by your local regulator.

Document Options

- ☐ Upload Document(s)
- ☐ Public Internet URL
- ☐ Provided Elsewhere in CERS
- ☐ Provided to Regulator
- ☐ Stored at Facility
- ☒ Exempt

Exempt
 Select this option if you feel you are exempt from this document upload requirement. Provide a short explanation of why you feel you are exempt in the space provided below. If the regulatory agency does not approve of the document exemption, the submittal element will be rejected.

Explanation of Why your Facility is Exempt
 No Prior Enforcement History.

Save **Cancel**

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11. Financial Assurance items must be updated for this year to account for inflation (see information regarding Implicit Price Deflators in Step 7). Discard the Previous submittals.

Tiered Permitting DRAFT Nov. 21, 2014 **Submit**

Tiered Permitting: Facility - (3 CE-CL Units) Ready to Submit **Edit** **Discard**

Units **Add Unit** Not required for Conditionally Exempt Commercial Laundry Facilities (CE-CL)

- Tiered Permitting: Unit PBR - (ID: 1)** Ready to Submit **Edit** **Discard**
- Tiered Permitting: Unit CA - Treatment unit #2 (ID: 2)** Ready to Submit **Edit** **Discard**
- Tiered Permitting: Plot Plan/Map: Upload Document(s)** Ready to Submit **Edit** **Discard**
- Tiered Permitting Unit: Prior Enforcement History** **New**
- Tiered Permitting Unit: Tank and Container Certification** **New**
- Tiered Permitting Unit: Local Agency Notification** **New**
- Tiered Permitting Unit: Property Owner Notification** **New**


Financial Assurance **Add Certification** Required for Facilities with PBR and CA Units Only

- Tiered Permitting Unit: Financial Assurance Certification - Exempt** Ready to Submit **Edit** **Discard**
- Tiered Permitting: Estimate of Closure Costs: Upload Document(s)** Ready to Submit **Edit** **Discard**
- Tiered Permitting: Financial Assurance Closure Mechanism: Upload Document(s)** Ready to Submit **Edit** **Discard**

Discard Draft Submittal **Miscellaneous State-Required Documents** **Add Comment To Regulator**

Choose the Discard Option:

Discard Resource Confirmation

 Are you sure you want to discard your draft **Tiered Permitting: Estimate of Closure Costs** resource?

Discarding your **Tiered Permitting: Estimate of Closure Costs** will not delete any data you have previously submitted to your regulator.

Discard **Cancel**

Choose “**New**” and refer to the instructions from Step 10 regarding Document Upload Options.

Tiered Permitting
DRAFT Nov. 21, 2014
Submit

Tiered Permitting: Facility - (3 CE-CL Units)
Ready to Submit
Edit
Discard

Units
Add Unit
Not required for Conditionally Exempt Commercial Laundry Facilities (CE-CL)

Tiered Permitting: Unit PBR - (ID: 1)
Ready to Submit
Edit
Discard

Tiered Permitting: Unit CA - Treatment unit #2 (ID: 2)
Ready to Submit
Edit
Discard

Tiered Permitting: Plot Plan/Map: Upload Document(s)
Ready to Submit
Edit
Discard

Tiered Permitting Unit: Prior Enforcement History: Exempt
Ready to Submit
Edit
Discard

Tiered Permitting Unit: Tank and Container Certification: Upload Document(s)
Ready to Submit
Edit
Discard

Tiered Permitting Unit: Local Agency Notification: Provided to Regulator
Ready to Submit
Edit
Discard

Tiered Permitting Unit: Property Owner Notification: Exempt
Ready to Submit
Edit
Discard

Financial Assurance
Add Certification
Required for Facilities with PBR and CA Units Only

Tiered Permitting Unit: Financial Assurance Certification - Exempt
Ready to Submit
Edit
Discard

Tiered Permitting: Estimate of Closure Costs
New

Tiered Permitting: Financial Assurance Closure Mechanism
New

Discard Draft Submittal
Miscellaneous State-Required Documents
Add Comment To Regulator

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