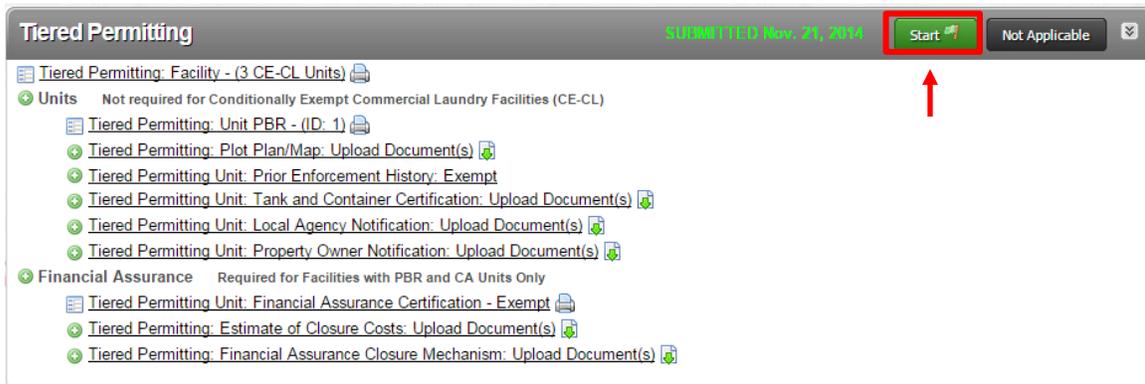


Resubmitting Tiered Permitting

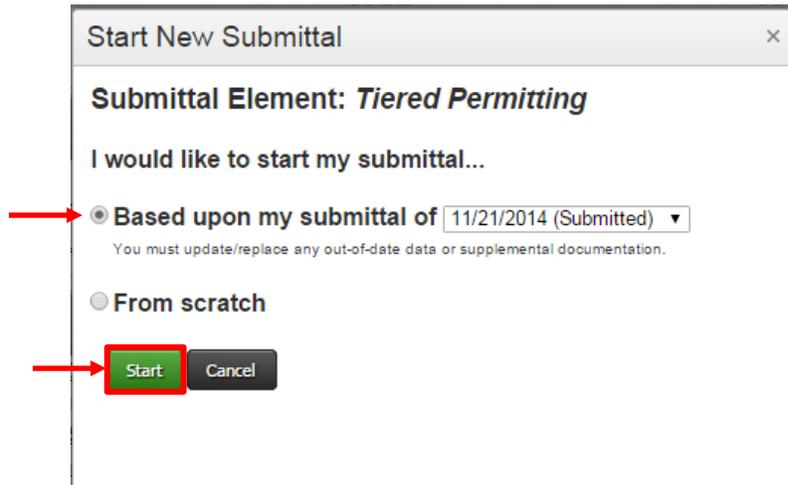
If you are permitted under Permit By Rule (PBR) or have units that are Conditionally Authorized (CA) you are required to update/complete the Tiered Permitting section annually and within 30 days if there are any changes. If all of your treatment units are Conditionally Exempt (CE), the Tiered Permitting submittal element is only required when there are changes.

For returning facilities resubmitting the Tiered Permitting element, please be sure that you have completed the [Facility Information Element](#).

1. Click “Start”



2. Select the “Based upon my last submittal of...” option, and click “Start”



3. Click “Edit” for Tiered Permitting: Facility

6. Review the information, make changes as appropriate and click “Save”

Discard → **Save** Cancel

Treatment Unit Identification and Details

Unit Type/Tier CESQT CESW CA PBR CEL

Unit ID# 1 Unit Name

Number of Tanks Number Of Containers/Treatment Areas Monthly Treatment Volume Unit Of Measure Pounds Gallons

Specific Waste Type Treated (Narrative)

Treatment Process Description (Narrative)

Basis for Not Needing Federal Permit (Check all that apply)

a. Treated Waste is not a Hazardous Waste under Federal Law (California-only waste)

b. Treated in Waste Water Treatment Units (Tanks) and Discharged to a Publicly Owned Treatment Works (POTW)/Sewering Agency or under an NPDES Permit

c. Treatment in Elementary Neutralization Units

d. Treatment in a Totally Enclosed Treatment Facility

e. Federal Conditionally Exempt Small Quantity Generator (generated 100kg, approximately 27 gallons, or less of hazardous waste in a calendar month)

f. Treatment in an accumulation tank or container within 90 days for over 1000 kg/month generators and 180 or 270 days for generators of 100 to 1000 kg/month.

g. Recyclable Materials are Reclaimed to Recover Silver or other Precious Metals

h. Empty Container Rinsing and/or Treatment

i. Other Basis (specify below)

Residuals Management Description (Check all that apply)

a. Discharge non-hazardous aqueous waste to POTW or sewer

b. Discharge non-hazardous aqueous waste under a NPDES permit

c. Dispose of Non-hazardous Solid Waste Residues at an Offsite Location

d. Offsite Recycling

e. Thermal Treatment

f. Disposal to Land

g. Further Treatment

h. Other Method of Disposal (specify below)

Secondary Containment Installation Date (If Required)

At least one Waste and Treatment Combination must be provided.

Permit by Rule (PBR) - Waste and Treatment Process Combinations

1. Aqueous wastes containing hexavalent chromium may be treated by the following process:

Reduction of hexavalent chromium to trivalent chromium with sodium bisulfite, sodium metabisulfite, sodium thiosulfite, ferrous sulfate, ferrous sulfide, or sulfur dioxide provided both pH & addition of the reducing agent are automatically controlled

If you chose PBR or CA under Unit Type/Tier, clicking save may send you directly to the Financial Assurance Certification, otherwise skip step 7.

- Complete the Financial Assurance page for the given PBR/CA Unit/s. Financial Assurance must be completed annually and account for inflation. Click Save.

NOTE: Financial assurance is only required when the closure costs add to \$10,000 or more.

The screenshot shows a web form for Financial Assurance. It is divided into several sections:

- Type of Operation / Unit Type:** Radio buttons for PBR-FTU (selected) and CA.
- Estimated Closure Costs:** A text input field containing '5000' and a download icon. Below it, text reads: 'Estimate must be accompanied by a written Estimate of Closure Costs download.'
- Exemption from Financial Assurance Requirements:**
 - I am not required to provide a mechanism because:
 - I certify that my closure cost estimate is less than or equal to \$10,000, or
 - Exemption From Financial Assurance - Other
 - Exemption From Financial Assurance - <30 Days Per Year
- Closure Financial Assurance Mechanism:**

Based on your Exemption from Financial Assurance Requirements selections, you are exempt from completing this section of the form.

Closure Assurance Mechanism Type (radio buttons): Closure Trust Fund, Surety Bond, Closure Letter of Credit, Closure Insurance, Financial Test and Corporate Guarantee, Alternative Mechanism, Multiple Financial Mechanisms, Certificate of Deposit, Savings Account.

Effective Date: [calendar icon]

Mechanism ID Number(s): [text input]

Financial Institution, Insurance or Surety Company / Other Organization: [text input]

Financial Institution Or Surety Address: [text input]

Financial Institution Or Surety City: [text input] State: [text input] Zip Code: [text input]

Financial Institution Or Surety Country: United States (dropdown menu) | For International Address
- Owner or Operator Certification:**

I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those directly responsible for gathering the information, the information is, to the best of my knowledge and belief, true, accurate and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fines and imprisonment for knowing violations. (22 CCR §66270.11)

Owner/Operator Name: [text input] Owner/Operator Title: [text input] Date Certified: [calendar icon] Signer Of Certification: Owner Operator

At the top right and bottom right of the form are 'Save' and 'Cancel' buttons. A red arrow points from the 'Save' button at the bottom to the 'Save' button at the top.

(Link to Implicit Price Deflators: Table 1.1.9 at <http://www.bea.gov>
<http://www.bea.gov/iTable/iTable.cfm?ReqID=13&step=1#reqid=13&step=3&isuri=1&1301=i&1303=13>)

- Review the information in each existing Unit (see step 5) to ensure the information is correct and update as necessary. If there are any new Units click the “Add Unit” button, Enter information requested for the Tiered Permitting Unit and Click “Save”.

NOTE: You are NOT required to “Add Unit” for Conditionally Except – Commercial Laundry units.

Tiered Permitting DRAFT Nov. 21, 2014

- Tiered Permitting: Facility - (3 CE-CL Units) Ready to Submit Edit Discard
- Units Add Unit Not required for Conditionally Exempt Commercial Laundry Facilities (CE-CL)
 - Tiered Permitting: Unit PBR - (ID: 1) Ready to Submit Edit Discard
 - Tiered Permitting: Plot Plan/Map: Upload Document(s) Ready to Submit Edit Discard
 - Tiered Permitting Unit: Prior Enforcement History New
 - Tiered Permitting Unit: Tank and Container Certification New
 - Tiered Permitting Unit: Local Agency Notification New
 - Tiered Permitting Unit: Property Owner Notification New
- Financial Assurance Add Certification Required for Facilities with PBR and CA Units Only
 - Tiered Permitting: Estimate of Closure Costs: Upload Document(s) Ready to Submit Edit Discard
 - Tiered Permitting: Financial Assurance Closure Mechanism: Upload Document(s) Ready to Submit Edit Discard

Discard Draft Submittal Miscellaneous State-Required Documents Add Comment To Regulator

9. Review the Plot Plan/Map on file by clicking on the link. If you are planning on uploading a new Map, choose the “**Discard**” option instead and skip ahead to part b.

Tiered Permitting DRAFT Nov. 21, 2014

- Tiered Permitting: Facility - (3 CE-CL Units) Ready to Submit Edit Discard
- Units Add Unit Not required for Conditionally Exempt Commercial Laundry Facilities (CE-CL)
 - Tiered Permitting: Unit PBR - (ID: 1) Ready to Submit Edit Discard
 - Tiered Permitting: Unit CA - Treatment unit #2 (ID: 2) Ready to Submit Edit Discard
 - Tiered Permitting: Plot Plan/Map: Upload Document(s) Ready to Submit Edit **Discard**
 - Tiered Permitting Unit: Prior Enforcement History New
 - Tiered Permitting Unit: Tank and Container Certification New
 - Tiered Permitting Unit: Local Agency Notification New
 - Tiered Permitting Unit: Property Owner Notification New
- Financial Assurance Add Certification Required for Facilities with PBR and CA Units Only
 - Tiered Permitting Unit: Financial Assurance Certification - Exempt Ready to Submit Edit Discard
 - Tiered Permitting: Estimate of Closure Costs: Upload Document(s) Ready to Submit Edit Discard
 - Tiered Permitting: Financial Assurance Closure Mechanism: Upload Document(s) Ready to Submit Edit Discard

Discard Draft Submittal Miscellaneous State-Required Documents Add Comment To Regulator

a) To review the Plot Plan/Map, click on the link. Once you have reviewed the file, choose “**Discard**” if you need to submit a new document and “**Cancel**” if the document was adequate. If the document is adequate proceed to step 10.

Instructions/Help

Tiered Permitting: Plot Plan/Map
Provide a plot plan or map detailing the location or locations of the unit or units at this facility. Clearly indicate the facility boundaries and major features. The extent or detail of the plot plan will vary depending on the size of the facility, the extent of the industrial operations, and the number of treatment units. The Site Map uploaded with your facility's Hazardous Materials Inventory may be used as long as the unit numbers are clearly indicated. Select the **Edit** button to modify/add documentation.

Document Options

- Upload Document(s)
- Public Internet URL
- Provided Elsewhere in CERS
- Provided to Regulator
- Stored at Facility
- Exempt

Document Upload(s) [CERS Document Upload Policy](#)

Document Title	Date Authored
Onsite Hazardous Waste Treatment: Plot Plan/Map (Portable Document Format, 11 KB)	11/21/2014

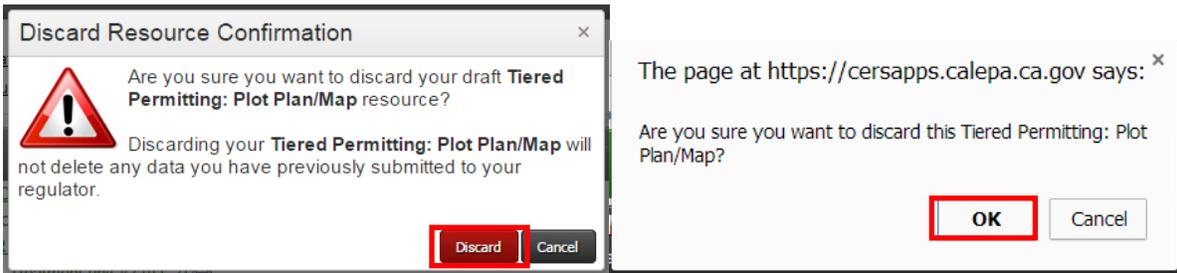
Discard

Created By: Amanda Ackerman on 11/21/2014 2:08 PM
Last Updated By: Amanda Ackerman on 11/21/2014 2:08 PM

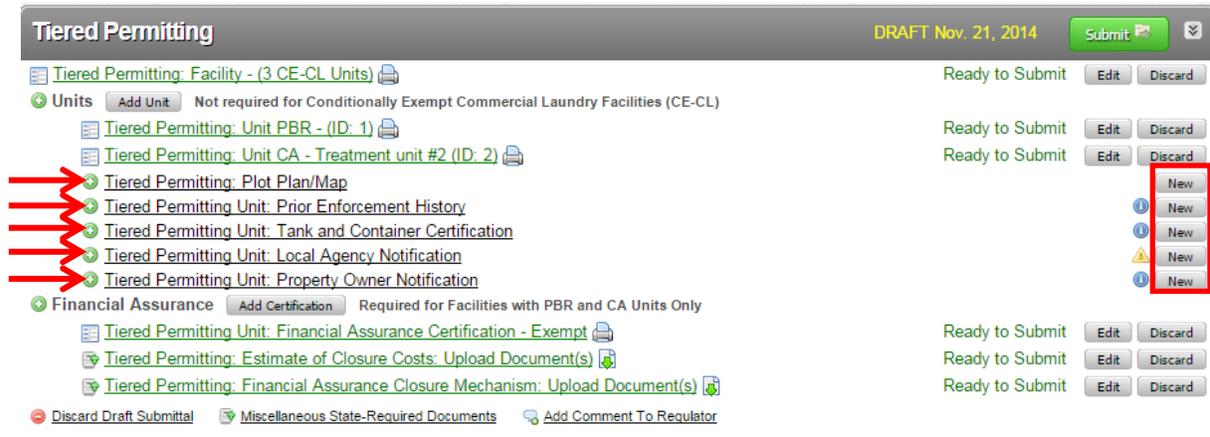
Edit **Cancel**

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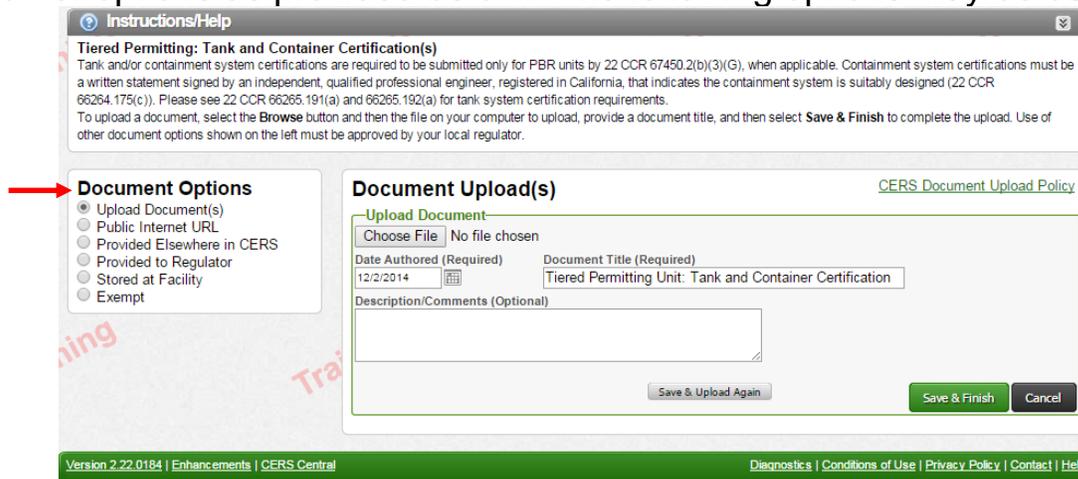
b) Depending on where you chose the Discard option from you may be directed to one of these two confirmation screens to discard the previous document. Choose Discard or OK as appropriate.



10. The following items all must have a submittal. Click the “**New**” button to start for each of these items.



The Default option is the Document Upload option, but you can choose other options as provided below. The following options may be useful:



Upload Document Option:

a. Select **“Upload Document”** in the **“Document Options”** box

b. Click **“Browse”** (or **“Choose File”**) and select the desired file.

The Document Title is automatically, but can be edited

c. If you need to upload multiple files in the same place, choose ‘Save & Upload Again’ and repeat step b as needed.

When finished Click **“Save & Finish”**

The screenshot shows the CERS Document Upload interface. At the top, there is an 'Instructions/Help' tab with text regarding 'Tiered Permitting: Tank and Container Certification(s)'. Below this is the 'Document Options' section, where 'Upload Document(s)' is selected. To the right is the 'Document Upload(s)' form, which includes a 'Choose File' button, a 'Date Authored' field (12/2/2014), a 'Document Title' field (Tiered Permitting Unit. Tank and Container Certification), and a 'Description/Comments' field. At the bottom of the form are 'Save & Upload Again', 'Save & Finish', and 'Cancel' buttons. The footer of the page includes 'Version 2.22.0184 | Enhancements | CERS Central' and 'Diagnostics | Conditions of Use | Privacy Policy | Contact | Help'.

Provided Elsewhere in CERS Option: Choose this option if you submitted this information in a prior submittal and there have been no changes, or for example if your plot plan is in your site map for Hazardous Materials Inventory.

a. Select **“Provided Elsewhere in CERS”** in the **“Document Options”** box

b. Choose a Submittal Element under **“Supplied in Submittal Element...”**

If referring to a prior submittal in CERS, please reference the date of the Submittal in the box labeled: **“Indicate submittal date or other explanation...”**

c. Click **“Save”**

Tiered Permitting: Prior Enforcement History
 Prior Enforcement History information is required ONLY if this facility was the subject of any convictions, judgments, settlements or final orders resulting from an action by any local, state, or federal environmental, hazardous waste, or public health enforcement agency. If applicable, upload a statement or summary that lists the cases for the last three years and provide a copy of the cover sheet from each document (conviction, settlement, etc.). The summary should include case and docket number, name and address of the agency, date, brief explanation, type of case (criminal, civil, administrative) and final resolution (including fines and penalties).
 To upload a document, select the **Browse** button and then the file on your computer to upload, provide a document title, and then select **Save & Finish** to complete the upload. Use of other document options shown on the left must be approved by your local regulator.

Document Options

- Upload Document(s)
- Public Internet URL
- Provided Elsewhere in CERS
- Provided to Regulator
- Stored at Facility
- Exempt

Provided Elsewhere in CERS
 If requirements for this supplemental documentation can be satisfied by another document you have provided in CERS, please indicate the submittal element where the document can be found and provide the submittal date or other comments to assist your regulator in locating this document in your current/previous CERS facility submittals.

Supplemental Submittal Element...

- Facility Information
- Tiered Permitting

Indicate submittal date or other explanation...

11/18/2013, No changes since then.

Save **Cancel**

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Provided to Regulator Option: Choose this option if you previously submitted a document to us outside of CERS (via fax, email or mail), and nothing has changed.

- Select **“Provided to Regulator”** in the **“Document Options”** box
- Enter the date that you sent in the documents under **Date Provided**.
- Click **“Save”**

Tiered Permitting: Notification of Local Agency or Agencies
 Submittals for PBR units must include documentation of the other local agencies notified of your operation, i.e. sewer agency per CCR Title 22 §67450.3(c)(5)(A).
 To upload a document, select the **Browse** button and then the file on your computer to upload, provide a document title, and then select **Save & Finish** to complete the upload. Use of other document options shown on the left must be approved by your local regulator.

Document Options

- Upload Document(s)
- Public Internet URL
- Provided Elsewhere in CERS
- Provided to Regulator
- Stored at Facility
- Exempt

Stored at Regulatory Agency
 If previously approved by your local regulator, you can use this document option to indicate the document(s) has been provided to the Regulator. Normally this option should only be used when the document(s) are sensitive and should not be made readily available to the public, such as the Emergency Response Plan for a refinery or large chemical manufacturing plant. Enter the date the document was provided to the regulator.

Date Provided

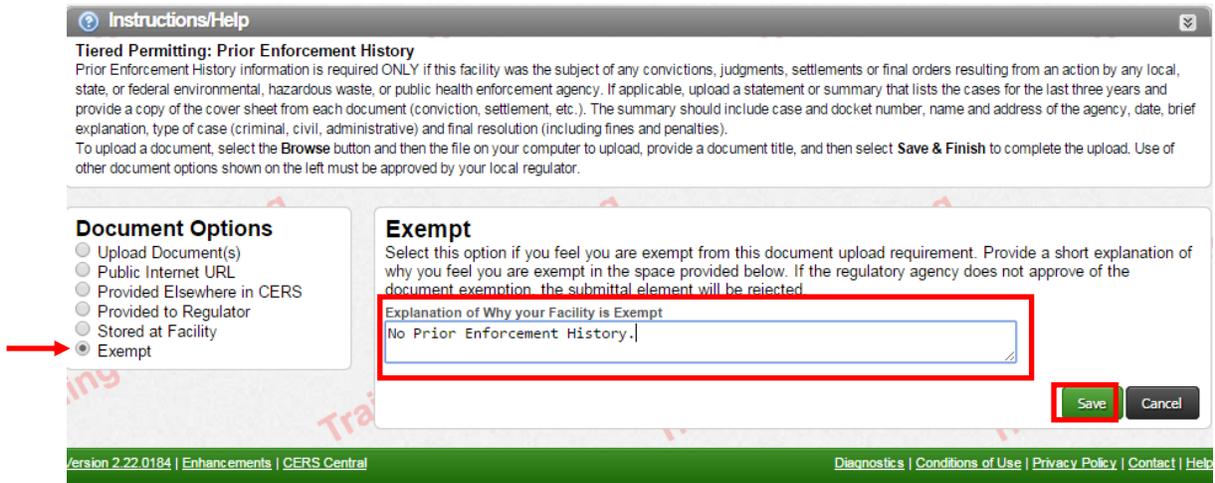
11/18/2013

Save **Cancel**

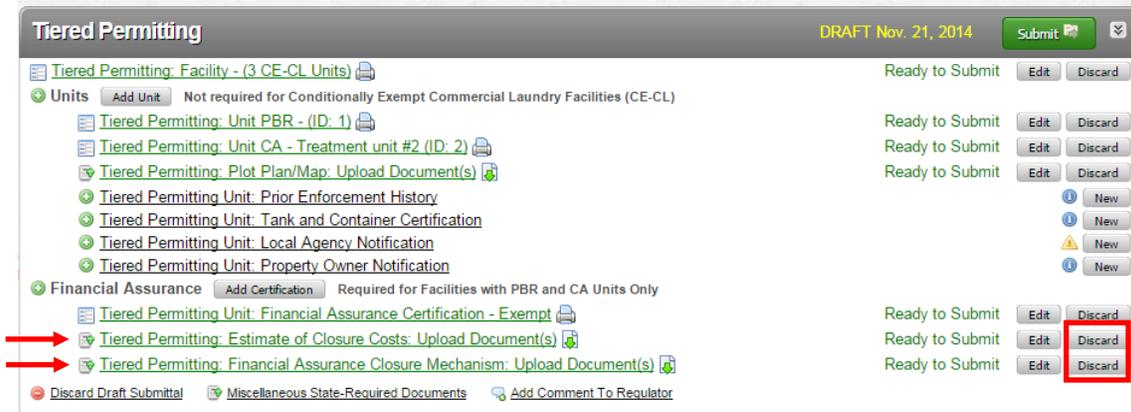
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Exempt Option: Choose this option if the submittal element does not apply to you, ex: Prior Enforcement History and you have none.

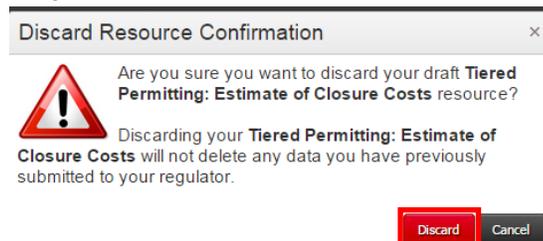
- Select **“Exempt”** in the **“Document Options”** box
- Provide an Explanation under **Explanation of Why your Facility is Exempt**.
- Click **“Save”**



- Financial Assurance items must be updated for this year to account for inflation (see information regarding Implicit Price Deflators in Step 7). Discard the Previous submittals.



Choose the Discard Option:



Choose “**New**” and refer to the instructions from Step 10 regarding Document Upload Options.

Tiered Permitting DRAFT Nov. 21, 2014 [Submit](#)

[Tiered Permitting: Facility - \(3 CE-CL Units\)](#) Ready to Submit [Edit](#) [Discard](#)

Units [Add Unit](#) Not required for Conditionally Exempt Commercial Laundry Facilities (CE-CL)

- [Tiered Permitting: Unit PBR - \(ID: 1\)](#) Ready to Submit [Edit](#) [Discard](#)
- [Tiered Permitting: Unit CA - Treatment unit #2 \(ID: 2\)](#) Ready to Submit [Edit](#) [Discard](#)
- [Tiered Permitting: Plot Plan/Map: Upload Document\(s\)](#) Ready to Submit [Edit](#) [Discard](#)
- [Tiered Permitting Unit: Prior Enforcement History: Exempt](#) Ready to Submit [Edit](#) [Discard](#)
- [Tiered Permitting Unit: Tank and Container Certification: Upload Document\(s\)](#) Ready to Submit [Edit](#) [Discard](#)
- [Tiered Permitting Unit: Local Agency Notification: Provided to Regulator](#) Ready to Submit [Edit](#) [Discard](#)
- [Tiered Permitting Unit: Property Owner Notification: Exempt](#) Ready to Submit [Edit](#) [Discard](#)

Financial Assurance [Add Certification](#) Required for Facilities with PBR and CA Units Only

- [Tiered Permitting Unit: Financial Assurance Certification - Exempt](#) Ready to Submit [Edit](#) [Discard](#)
- [Tiered Permitting: Estimate of Closure Costs](#) [New](#)
- [Tiered Permitting: Financial Assurance Closure Mechanism](#) [New](#)

[Discard Draft Submittal](#) [Miscellaneous State-Required Documents](#) [Add Comment To Regulator](#)

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